



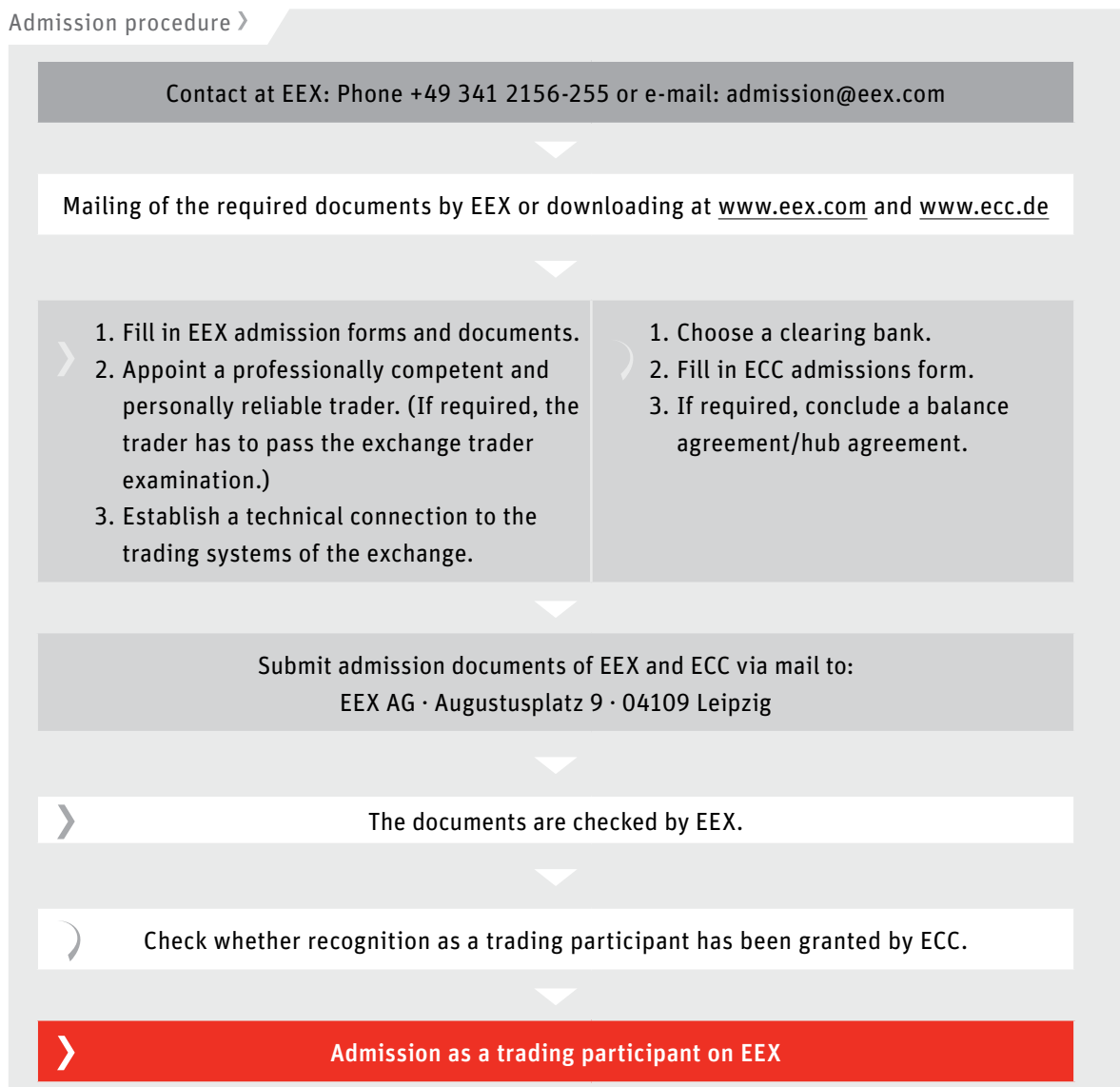
## Admission to the EEX Markets

Various preconditions are required for becoming a trading participant on EEX. These conditions are specified in Art. 14 ff of the rules of EEX and in Art. 19 (4) of the German Exchange Act. Admission as a trading participant can be applied for separately for the individual markets of the exchange. A company can only start trading on the EEX markets and registering OTC transactions after successful admission as a trading participant. Recognition as a trading participant by European Commodity Clearing AG (ECC), the clearing house of EEX, is required for proper settlement and collateralisation.

EEX Spot Markets			EEX Derivatives Markets		
Natural Gas	Emission Rights	Power (D/F)	Natural Gas	Emission Rights	Coal

Applications for and admission to trading on the Power Spot Market are processed through EPEX Spot SE, the joint subsidiary of EEX AG and Powernext SA. Further information is available at the EPEX Spot website [www.epexspot.com](http://www.epexspot.com).

### Admission procedure >



## Preconditions &gt;

Liable equity to the amount of at least EUR 50,000
Proof of personal reliability and professional qualification of the person/s holding management authority
Licensing of at least one trader who has personal reliability and has successfully passed the required EEX trader examination/s
Technical facilities available for trading and settlement of exchange transactions
Recognition as a trading participant by European Commodity Clearing AG (ECC )

## Forms &gt;

A01	Exchange Participant Admission Application to the Markets of the European Energy Exchange
A04	Form "Know your customer" form
E01	Declaration of Personal Reliability (Member of the Management Board)
E02	Declaration of Professional Qualification (Member of the Management Board)
E03	Application for Set-up/ Modification to EEX User IDs
E04	Declaration of Personal Reliability (Exchange Trader)
T01-T09	Forms to regarding technical access of EEX Spot and Derivatives Markets

**Additional documents to be submitted**

Certified copy of the current excerpt from the commercial register (or equivalent document/s) (for foreign trading participants)
Latest set of officially certified and audited annual accounts
Copies of the identification cards or passports of the person/s holding management authority specified in application form A01 or of the traders
Designation of an authorised recipient (only for foreign nationals/form E07)

Download: <https://www.eex.com/en/Download/AdmissionForms>

## Recognition as a trading participant by ECC &gt;

**Preconditions**

Conclusion of an NCM agreement with an ECC licensed clearing member and ECC
Debit order for ECC confirmed by the bank maintaining the account in Germany
Proof of the capacity for physical settlement of the transactions in the corresponding products (e. g. balance agreement) or a declaration of commitment regarding the exclusion of physical settlement by means of closing-out of the positions

**Forms and documents**

NCM01	Application for Admission as a Non-Clearing Member (proof of signatures and authorisation of the person/s, who sign the contracts legally binding)
NCM02	NCM Agreement (to be completed in triplicate)
NCM03	Placing of Debit Order
NCM04	Application for setup of user accounts for the clearing and settlement system of ECC.
TPO7	VAT details

Separate trading participant forms for evidence of the conclusion of a balance or hub agreement have to be furnished as proof of physical fulfillment for individual products. Furthermore, the latest excerpt from the commercial register should be handed in.

Download: <http://www.ecc.de/Downloads/Forms>