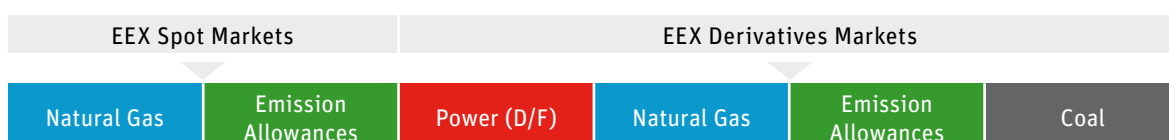




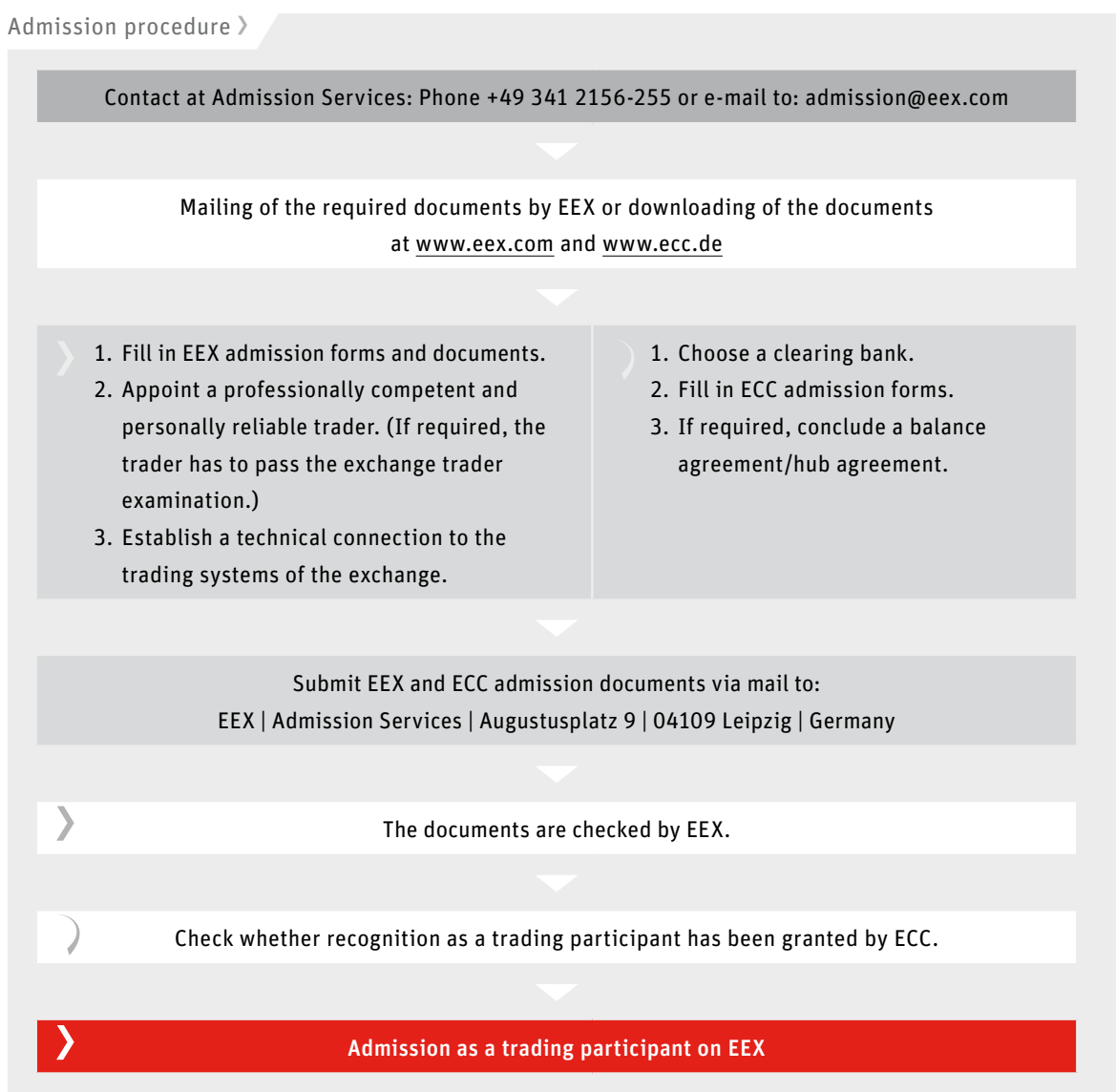
## Admission to the EEX Markets

There are various requirements for becoming a trading participant on EEX. These requirements are specified in Art. 14 ff. of the rules of EEX and in Art. 19 (4) of the German Exchange Act. Admission as a trading participant can be applied for separately for the individual markets and products of the exchange. A company can only start trading on the EEX markets and registering OTC transactions after successful admission as a trading participant. Recognition as a trading participant by European Commodity Clearing AG (ECC), the clearing house of EEX, is required for proper settlement and collateralization.



Applications for and admission to trading on the Power Spot Market are processed through EPEX SPOT. Further information is provided on the EPEX SPOT website at [www.epexspot.com](http://www.epexspot.com).

### Admission procedure >



## Preconditions &gt;

Liabe equity to the amount of at least EUR 50,000
Proof of personal reliability and professional qualification of the person/s holding management authority
Licensing of at least one trader who has personal reliability and proven the necessary professional qualification (e.g. EEX trader examination)
Technical facilities available for trading and settlement of exchange transactions
Recognition as a trading participant by European Commodity Clearing AG (ECC )

## Forms &gt;

A01	Exchange Participant Admission Application to the Markets of the European Energy Exchange
A04	Form "Know your customer"
E01	Declaration of Personal Reliability (Member of the Management Board)
E02	Declaration of Professional Qualification (Member of the Management Board)
E03	Application for Set-up/ Modification to EEX User IDs
E04	Declaration of Personal Reliability (Exchange Traders/Exchange Trader Assistants)
T01-T12	Forms regarding technical access of EEX Spot and Derivatives Markets

**Additional documents to be submitted**

Certified copy of the current excerpt from the commercial register or equivalent document/s (for foreign trading participants in German or English)
Latest set of officially certified and audited annual accounts (German or English)
Copies of the identification cards or passports of the person/s holding management authority specified in application form A01 or of the traders
Designation of an authorized recipient (only for foreign nationals/form E07)

Download: <https://www.eex.com/en/Download/AdmissionForms>

## Recognition as a trading participant by ECC &gt;

**Preconditions**

Conclusion of an NCM agreement with ECC and a clearing member licensed by ECC
Proof of the capacity for physical settlement of the transactions in the corresponding products (e.g. balance agreement) or a declaration of commitment regarding the exclusion of physical settlement by means of closing-out of the positions

**Forms and documents**

NCM01	Application for Admission as a Non-Clearing Member (proof of signatures and authorization of the person/s who sign/s the contracts in a legally binding form)
NCM02	NCM Agreement (to be completed in triplicate)
NCM04	Application for setup of user accounts for the clearing and settlement system of ECC
TP07	VAT details

Separate trading participant forms are required to apply for the respective products and to substantiate the conclusion of a balance area or hub agreement as a proof of the capacity for physical fulfilment. Furthermore, the latest excerpt from the commercial register (German or English) should be handed in.

Download: <http://www.ecc.de/Downloads/Forms>